Total number of Contractor systems:		0
Number of contractor systems by FIPS-199 categorization (high impact, moderate impact, low impact, or not yet categorized)	High Impact:	0
	Moderate Impact:	0
	Low Impact:	0
	Not yet categorized:	0
Number of contractor systems certified and accredited, by FIPS-199 categorization	High Impact:	0
	Moderate Impact:	0
	Low Impact:	0
	Not yet categorized:	0
Number of contractor systems with security controls tested within the past fiscal year, by FIPS-199 categorization	High Impact:	0
	Moderate Impact:	0
	Low Impact:	0
	Not yet categorized:	0
Number of contractor systems with tested contingency plans, by FIPS-199 categorization	High Impact:	0
	Moderate Impact:	0
	Low Impact:	0
	Not yet categorized:	0

Did you report IT security incidents to US-CERT? Yes or No.	No
How many incidents did you report in the past fiscal year?	0
Number of employees (including contractors):	85
Number of users receiving IT security awareness training within the past fiscal year:	85
Number of IT security staff including contractors (employees or contractors with significant IT security responsibilities):	11
Number of IT security staff who received specialized security training within the past fiscal year:	11
Number of weaknesses identified in POA&M (New, 09/22/2006):	12
Number of weaknesses reported corrected within the past fiscal year:	0

Micro Agency Reporting Template - IG or Independent Evaluator.

This template shoud be used by micro-agencies (less than 100 employees) to report to OMB on FISMA Compliance. This template should be submitted to OMB (fisma@omb.eop.gov) no later than October 1, 2005.

If a micro-agency does not have an IG, Section C requirements should be completed by an independent evaluator.

Please attach any reports or observations from the independent assessment at the time of template submission to OMB.

Name of Agency: US Office of Government Ethics
Date: 10/01/2006

Agency systems:		#
Number of agency systems evaluated - by FIPS-199 categorization (high impact, medium impact, low impact, or not yet categorized)	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#
Of those systems evaluated, number of agency systems certified and accredited, by FIPS-199 categorization	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#
Of those systems evaluated, number of agency systems with security controls tested FY05, by FIPS-199 categorization	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#
Of those systems evaluated, number of agency systems with tested contingency plans, by FIPS-199 categorization	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#
Contractor systems:		#
Number of contractor systems evaluated, by FIPS-199 categorization (high impact, medium impact, low impact, or not yet categorized)	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#

Of those systems evaluated, number of contractor systems certified and accredited, by FIPS-199 categorization		
	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#
Of those systems evaluated, number of contractor systems with security controls tested within the past fiscal year, by FIPS-199 categorization		
	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#
Of those systems evaluated, number of contractor systems with tested contingency plans, by FIPS-199 categorization		
	High Impact:	#
	Moderate Impact:	#
	Low Impact:	#
	Not yet categorized:	#
Number of weaknesses identified in POA&M:		#
Number of weaknesses reported corrected within the past fiscal year:		#

Section D: Senior Agency Official for Privacy

Agency Name: US Office of Government Ethics

Date: 10/01/2006

1.	Can your agency demonstrate through documentation that the privacy official participates in all agency information privacy compliance activities (i.e., privacy policy as well as IT information policy)? Yes or No.	Yes
2.	Can your agency demonstrate through documentation that the privacy official participates in evaluating the ramifications for privacy of legislative, regulatory and other policy proposals, as well as testimony and comments under Circular A-19? Yes or No.	Yes
3.	Can your agency demonstrate through documentation that the privacy official participates in assessing the impact of technology on the privacy of personal information? Yes or No.	Yes

Comments:

II. Procedures and Practices

1.	Does your agency have a training program to ensure that all agency personnel and contractors with access to Federal data are generally familiar with information privacy laws, regulations and policies and understand the ramifications of inappropriate access and disclosure? Yes or No.	Yes
2.	Does your agency have a program for job-specific information privacy training (i.e., detailed training for individuals (including contractor employees) directly involved in the administration of personal information or information technology systems, or with significant information security responsibilities)? Yes or No.	Yes
3.	Section 3, Appendix 1 of OMB Circular A-130 requires agencies conduct -- and be prepared to report to the Director, OMB on the results of -- reviews of activities mandated by the Privacy Act. In the chart below, please indicate which of the following reviews were conducted in the last fiscal year.	

Agency Name	Section M Contracts	Records Practices	Routine Uses	Exemptions	Matching Programs	Training	Violations: Civil Action	Violations: Remedial Action	Systems of Records	
USOGE			**1**	**1**	**1**	**1**	**1**	**1**	**1**	

4.	Section 208 of the E-Government Act requires that agencies (a.) conduct Privacy Impact Assessments under appropriate circumstances, (b.) post web privacy policies on their websites, and (c.) ensure machine-readability of web privacy policies.		
	a.	Does you agency have a written process or policy for:	
		(i.) determining whether a PIA is needed? Yes/No	Yes
		(ii.) conducting a PIA? Yes/No	Yes
		(iii.) evaluating changes in business process or technology that the PIA indicates may be required? Yes/No	Yes
		(iv.) ensuring that systems owners and privacy and IT experts participate in conducting the PIA? Yes/No	Yes
		(v.) making PIAs available to the public in the required circumstances? Yes/No	Yes
		(vi.) making PIAs available in other than required circumstances? Yes/No	Yes
	b.	Does your agency have a written process for determining continued compliance with stated web privacy policies? Yes/No	Yes
	c.	Do your public-facing agency web sites have machine-readable privacy policies (i.e., are your web privacy policies P3P-enabled or automatically readable using some other tool)? Yes/No	Yes
		(i.) if not, provide date for compliance:	MM/DD/YYYY

Section D: Senior Agency Official for Privacy.

Agency Name: US Office of Government Ethics

Date: 10/01/2006

II. Procedures and Practices, Continued.

5. By bureau, identify the number of information systems containing Federally-owned information in an identifiable form. For the applicable systems, on how many have you conducted a Privacy Impact Assessment and published a Systems of Records Notice?

	a.			b.						c.					
	Systems that contain Federally-owned information in an identifiable form			Privacy Impact Assessments: total number requiring a Privacy Impact Assessment (systems that contain information from or about the public)			Privacy Impact Assessments: number that have a completed current Privacy Impact Assessment			Systems of Records Notices: By bureau: number of systems from which Federally-owned information is retrieved by name or unique identifier			Systems of Records Notices: number of systems for which a current Systems of Records Notice/s have been published in the Federal register		
Agency Name	Agency Systems	Contractor Systems	Total number of Systems	Agency Systems	Contractor Systems	Total number of Systems	Agency Systems	Contractor Systems	Total number of Systems	Agency Systems	Contractor Systems	Total number of Systems	Agency Systems	Contractor Systems	Total number of Systems
			3			3	0	0	0	2	0.0%	2	2		2

5.d. Contact Information for preparer of Question 5: Privacy Act Officer - Elaine Newton, (202) 482-9265, enewton@oge.gov

Question 6

OMB policy (Memorandum 03-22) prohibits agencies from using persistent tracking technology on web sites except in compelling circumstances as determined by the head of the agency (or designee reporting directly to the agency head).

6.a.	Does your agency use persistent tracking technology on any web site? Yes/No	No

6.b.	Does your agency annually review the use of persistent tracking? Yes/No	No
6.c.	Can your agency demonstrate through documentation the continued justification for and approval to use the persistent technology? Yes/No	No
6.d.	Can your agency provide the notice language used or cite to the web privacy policy informing visitors about the tracking? Yes or No.	No
	III. Internal Oversight	
1.	Does your agency have current documentation demonstrating review of compliance with information privacy laws, regulations and policies? Yes or No.	Yes
	(i.) If so, provide the date the documentation was created:	4/20/2005
2.	Can your agency provide documentation demonstrating corrective action planned, in progress or completed to remedy identified compliance deficiencies? Yes or No.	No
	(i.) If so, provide the date the documentation was created:	MM/DD/YYYY
3.	Does your agency use technologies that allow for continuous auditing of compliance with stated privacy policies and practices? Yes or No.	No
	(i.) If so, provide the date the documentation was created:	MM/DD/YYYY
4.	Does your agency coordinate with the agency Office of Inspector General on privacy program oversight by providing to OIG the following materials:	
	(a.) compilation of the agency's privacy and data protection policies and procedures? Yes/No	Yes
	(b.) summary of the agency's use of information in identifiable form? Yes/No	Yes
	(c.) verification of intent to comply with agency policies and procedures? Yes/No	Yes
5.	Does your agency submit an annual report to Congress (OMB) detailing your privacy activities, including activities under the Privacy Act and any violations that have occurred? Yes or No.	No
	(i.) If so, when was this report submitted to OMB for clearance?	MM/DD/YYYY

Section D - Senior Agency Official for Privacy

Agency Name:

Date: MM/DD/YYYY

IV. Contact Information

	Name	Phone Number	E-mail Address
Agency Head	Robert I. Cusick	(202) 482-9292	ricusick@oge.gov
Chief Information Officer	Daniel D. Dunning	(202) 482-9203	ddunning@oge.gov
Agency Inspector General	N/A		
Chief Information Security Officer	Ty Cooper	(202) 482-9226	ty.cooper@oge.gov
Senior Agency Official for Privacy	Daniel D. Dunning	(202) 482-9203	ddunning@oge.gov
Chief Privacy Officer	N/A		
Privacy Advocate	N/A		
Privacy Act Officer	Elaine Newton	(202) 482-9265	enewton@oge.gov